

### Research

## Epidemiology and liver transplantation burden of primary biliary cholangitis: a retrospective cohort study

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### **Abstract**

**Background:** There is a wealth of data documenting the epidemiology of primary biliary cholangitis (PBC) globally; however, the epidemiology of PBC has not been as well studied in Canada. Our study characterized the Canadian prevalence of PBC and the number of liver transplantations because of PBC.

**Methods:** For this retrospective cohort study we used national hospital administrative records from the Canadian Institute for Health Information, with the exception of Quebec for the prevalence estimate and Quebec and British Columbia for the transplant analysis. Prevalent patients were identified through a diagnostic code for PBC of the Canadian version of the 10th revision of the International Classification of Diseases. PBC transplant patients were identified from their transplant record. Descriptive statistics were used to summarize the characteristics of the study cohorts.

**Results:** In 2015, 8680 patients with PBC were identified in Canada, translating to a prevalence of 318 cases per million. Annual prevalence by province varied, ranging from 283 (95% confidence interval [CI] 269–297) cases per million to 465 (95% CI 426–504) cases per million, and the 6-year PBC liver transplantation rate ranged from 3.17 (95% CI 1.27–6.54) to 5.92 (95% CI 3.71–9.08) per million. The Atlantic provinces exhibited the highest PBC prevalence and close to the highest 6-year liver transplantation rate (465 [95% CI 426–504] cases per million and 5.70 [95% CI 426–504, 3.19–9.56] cases per million, respectively). We observed the lowest PBC prevalence (283 [95% CI 269–297] cases per million) and the second lowest 6-year liver transplantation rate in Ontario (3.37 [95% CI 2.47–4.50] cases per million).

**Interpretation:** The prevalence of PBC that we found in Canada is similar to the prevalence reported in other studies, but our work also indicates geographic variation within this country. Given our finding of geographic clustering of PBC across Canada, we hypothesize that environmental and genetic factors contribute to the pathogenesis of this condition.

rimary biliary cholangitis (PBC) is a rare chronic autoimmune cholestatic liver disease characterized by destruction of the small intrahepatic bile ducts. It predominantly affects middle-aged and elderly women.<sup>1,2</sup> Despite its rarity, PBC is an important cause of liver-related morbidity.<sup>2-5</sup> In the United States, the annual economic burden of PBC has been estimated to be \$69-\$115 million.<sup>3</sup> There is concern that the economic impact of PBC could increase significantly in the future because of the increasing incidence and prevalence of this disease;6-12 however, with improved treatment options, the costs may be attenuated.13-15 The epidemiology of PBC was first described as early as 40 years ago,16 although decades later no study has yet investigated the national prevalence of PBC in Canada. A number of studies have examined the epidemiology of PBC globally, illustrating considerable variability in the prevalence of the disease; prevalence rates range from 6.7 to 402 cases per million, while incidence rates range from 0.7 to 58 cases per million.1 A growing number of studies suggest that the incidence and prevalence of PBC are increasing.<sup>6-12</sup> The driver of this growth remains unclear, but it may be the result of an increase in incidence, longer survival and

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advances in diagnosis and treatment. In addition, there is increasing evidence to suggest that the cause of PBC is related to complex interactions between genetic predisposition and environmental triggers,<sup>3,17–21</sup> such as infectious disease agents.<sup>3,22–25</sup>

Recent advances in the understanding of PBC provide the opportunity to improve patient outcomes and to lower the economic and epidemiologic burden of PBC. In this study, the primary objective was to estimate the national prevalence of PBC in Canada. The secondary objective was to characterize liver transplantation trends in PBC patients and the geographic distribution of these patients, given that this is a costly procedure and a considerable proportion of PBC patients require liver transplants because of end-stage complications.

#### **Methods**

#### Data sources and study population

The study used patient-level records over a 9-year period from Apr. 1, 2007, to Mar. 31, 2015, for the prevalent population and over a 6-year period from Apr. 1, 2010, to Mar. 31, 2015, for the liver transplant population. This study used data from the administrative health care databases of the Canadian Institute for Health Information (CIHI). Information about a visit is recorded in the patient's chart at the time of service in the hospital, and an abstract is completed for every visit to a hospital even if patients receive care from multiple physicians. The data are entered into fields by specialized staff who adhere to a standard coding system. CIHI conducts data quality assessments and also employs several channels to ensure data correctness and reabstraction.

Data from 3 CIHI databases were used in this study. The National Ambulatory Care Reporting System (NACRS) database contains data on hospital-based and community-based emergency and ambulatory care visits, including visits to day surgery and outpatient clinics in Canada.<sup>26</sup> The Discharge Abstract Database (DAD) contains data on hospital admissions from acute inpatient care and select day surgery, chronic, rehabilitation and psychiatric institutions in Canada.<sup>27</sup> The Canadian Organ Replacement Register (CORR) contains information on all transplanted organs across Canada.<sup>28</sup>

Data from 9 of 10 provinces in Canada, with the exception of Quebec, were made available for the prevalence estimate, and data from 8 of 10 provinces in Canada, with the exception of British Columbia and Quebec, were made available for the transplant analysis. For the provinces with incomplete data coverage or unreleased data we extrapolated results from the other provinces by applying age-adjusted prevalence or transplant rates to the known populations within those provinces as reported by Statistics Canada.<sup>39</sup> To capture diagnoses made in clinics we used the available data from Alberta to estimate diagnoses in other provinces. Data of individual provinces were then grouped into geographic regions: Atlantic (Nova Scotia, New Brunswick, Prince Edward Island and Newfoundland), Ontario, Prairies (Manitoba and Saskatchewan), Alberta and British Columbia.

#### Administrative data case definition

PBC case identification for the prevalent population required 1 hospital visit with a single diagnostic code for PBC (Canadian version of the 10th revision of the International Classification of Diseases K74.3) recorded as the most responsible reason for a visit or as a comorbidity diagnosis for that hospital visit during the study period. PCB diagnoses were identified from either the DAD or NACRS database; the diagnosis was not required to appear in both databases for the same visit, which may otherwise have reduced the number of cases. In all study databases, unique patient identifiers were used to prevent double counting of patients. Similar to previous studies, 2.4,5 PBC case identification for the liver transplant population required the primary reason for the transplant to be PBC.

#### Indexes of liver disease severity

Alberta patients were further identified as having late-stage PBC if their medical records included at least 1 diagnosis associated with late-stage PBC. This additional analysis was limited to Alberta because it was the only province where the administrative databases had full coverage of outpatient clinics and hospital visits, which is required for a robust determination of late-stage disease. The list of diagnosis codes associated with late-stage PBC was generated using published literature 18,34,40 and expert clinical opinion. In

For the liver transplant population, the Model for End-Stage Liver Disease (MELD) score and the Child-Pugh score were used as a measure of prognostic survival and liver disease severity, and they are traditionally used to determine liver transplant priority.<sup>42</sup> The MELD score uses the patient's values for serum bilirubin, serum creatinine and the international normalized ratio for prothrombin time.<sup>43</sup> The Child-Pugh score employs 5 clinical measures of liver disease: total bilirubin, serum albumin, prothrombin time, ascites and hepatic encephalopathy. Each measure is scored between 1 and 3, with 3 indicating the most severe condition.<sup>42</sup>

#### Statistical analysis

The prevalence estimate was evaluated between Apr. 1, 2013, and Mar. 31, 2015, for the prevalent population and between Apr. 1, 2010, and Mar. 31, 2015, for the liver transplant population. The annual prevalence was determined by dividing the number of patients with PBC alive on Mar. 31 for each year by the populations for each geographic area (from Statistics Canada data). Owing to the disease's chronic nature, patients were considered prevalent with PBC until a hospital death occurred. Our study used all available history in the look-back period to establish prevalence. To determine the geographic distribution of the PBC liver transplant population, we used an estimate of the 6-year liver transplant rate per million because annual transplant rates are low. Regional estimates were age and sex adjusted to the 2015 and 2013 Canadian populations for the prevalent and liver transplant populations, respectively.44 These reference years for adjustment were chosen on the basis of the data that were available to provide estimates for the most recent reporting





year. Wait time for liver transplantation was defined as the number of days from the date that the patient was placed on the transplant list to the date of liver transplant. Patients who died while on the transplant list were not included in CORR and their data were therefore unavailable for analysis. Descriptive statistics were used to summarize the characteristics of the study cohorts. We calculated *p* values between prevalence estimates using the 2-sided Wilson method with a continuity correction. The Kaplan–Meier method was used to assess transplant wait time and survival.

#### **Ethics approval**

Our study received ethics approval from the Advarra Center for IRB Intelligence (ethics registration no. Pro00017376), and the research ethics plan was approved by CIHI.

#### **Results**

#### National prevalence of primary biliary cholangitis

In 2015, 8680 patients with PBC were identified in Canada, excluding Quebec, which translates into a prevalence of 318 (95% confidence interval [CI] 309-327) cases per million (Table 1). The prevalence of PBC varied across the country. In 2015, the Atlantic provinces had the highest PBC prevalence (465 [95% CI 426-504] cases per million) in Canada, followed by the Prairie provinces (399 [95% CI 360-438] cases per million), British Columbia (327 [95% CI 302–352] cases per million), then Alberta (292 [95% CI 275-309] cases per million). The lowest prevalence was observed in Ontario (283 [95% CI 269–297] cases per million) (Table 1). Relative to Ontario, the Atlantic provinces (p < 0.01), the Prairie provinces (p < 0.01) and British Columbia (p < 0.05) had a significantly higher PBC prevalence. However, the prevalence in Alberta was not significantly different from that in Ontario (p = 0.4).

The demographic characteristics of the PBC population are shown in Table 2. PBC was approximately 4 times as

Table 1: Annual prevalence of primary biliary cholangitis and liver transplantation for primary biliary cholangitis Prevalence, no. per million population\* (95% CI) Liver transplantation Patients with PBC in patients with PBC Year 1.03 (0.93-1.06) 2010 2011 0.55 (0.37-0.73) 2012 1.02 (0.92-1.11) 2013 255 (248-264) 0.59 (0.47-0.71) 2014 0.58 (0.52-0.65) 288 (279-297) 2015 318 (309-327) 0.49(0.41-1.06)Note: CI = confidence interval, PBC = primary biliary cholangitis \*Rates were adjusted for age and sex.

Table 2: Demographics of patients with primary biliary cholangitis in 2015				
Age group, yr	Male no. (%)	Female no. (%)		
0–17	16 (62)	10 (38)		
18–39	224 (35)	416 (65)		
40–64	936 (20)	3764 (80)		
65–79	574 (22)	2083 (78)		
≥ 80	113 (17)	544 (83)		

common among females in our study population. In females, PBC was most frequently diagnosed in the 40- to 64-year age group. PBC was more common in females than males in all but the youngest age group (0–17 yr). Disease severity varied by province. Of the Alberta patients with PBC, 29% (95% CI 26%–32%) were identified as having late-stage PBC as indicated by a diagnosis associated with late-stage disease. When we further segmented the population by treatment location, we found that 8% (95% CI 6%–10%) of patients with PBC treated only in a clinic were identified as having late-stage disease, whereas 48% (95% CI 44%–53%) of patients who received a PBC diagnosis in the hospital were considered to have late-stage disease.

# National liver transplantation rates for primary biliary cholangitis

A total of 92 patients received a liver transplant because of PBC between Apr. 1, 2010, and Mar. 31, 2015, in Canada (excluding Quebec and British Columbia), accounting for 5% of all liver transplants in this time frame. The annual rate of liver transplantation because of PBC varied and ranged from 0.49 to 1.03 cases per million in the general population (Table 1). The geographic analysis showed that the 6-year liver transplant rate per million because of PBC was highest in Alberta (5.92 [95% CI 3.71-9.08] cases per million) followed by the Atlantic provinces (5.70 [95% CI 3.19-9.56] cases per million), then Ontario (3.37 [95% CI 2.47–4.50] cases per million). The lowest 6-year liver transplant rate per million because of PBC was observed in the Prairie provinces (3.17 [95% CI 1.27-6.54] cases per million). Relative to Ontario, Alberta had a significantly higher 6-year PBC liver transplantation rate per million (p < 0.05). However, the rates in the Atlantic (p = 0.1) and Prairie (p = 1) provinces did not differ significantly from the rate in Ontario.

Liver transplants were approximately 5 times more common among females than among males, which aligns with our findings in the PBC prevalence analysis. Furthermore, among all diagnoses, PBC was the leading cause of liver transplantation in females. Among males and females in this study, the highest number of liver transplants was observed in patients between the ages of 40 and 64 years (Table 3). Close to half of patients with PBC who received a liver transplant had the most severe liver dysfunction as measured by a Child–Pugh

Table 3: Demographics and disease severity of patients with primary biliary cholangitis liver who received a liver transplant in 2010–2015

Variable	Male no. (%)	Female no. (%)	All no. (%)	
Age, yr*				
0–17†	< 5 (13)	0		
18–39	0	8 (11)		
40–64	13 (54)	58 (83)		
≥ 65	8 (33)	4 (6)		
Child-Pugh category‡				
5–6			0	
7–9			12 (41)	
10–15			17 (58)	
MELD category‡				
< 12†			< 5 (7)	
12–16			10 (24)	
17–22			12 (29)	
> 22			< 5 (39)	

Note: MELD = model of end-stage liver disease.

score of 10–15 (58%) and a MELD score of > 22 (39%) (Table 3).

When we analyzed wait times to receive a transplant, the median wait time for patients with PBC was 2 months (95% CI 1–3) (Figure 1). After transplantation, the 2-year survival for patients with PBC was estimated to be 89% (95% CI 83%–96%) (Figure 1).

#### Interpretation

This study improves upon previous studies estimating the regional or local prevalence of PBC by describing national epidemiologic trends of PBC and associated liver transplants across Canada. We found that the annual prevalence of PBC varied by province from 283 (95% CI 269-297) to 465 (95% CI 426–504) cases per million, and the 6-year PBC liver transplant count ranged from 3.17 (95% CI 1.27-6.54) to 5.92 (95% CI 3.71-9.08) per million. The last time the prevalence of PBC in the US was reported was for the period of 1975–1995.9 Previous prevalence studies of PBC produced a wide range of estimates, ranging from 6.7 to 402 per million.<sup>1,7,9–12,16,45</sup> The PBC prevalence figures reported in this study (prevalence of 318 cases per million in 2015) are among the highest ones reported, and when they are projected nationally to extrapolate for the Quebec population they correspond to 11 290 prevalent PBC cases in Canada in 2015.

Earlier Canadian studies<sup>11,12</sup> reported prevalence estimates of between 3 and 25 per million, an order of magnitude lower than the current study. The most recent Canadian estimate, which focused on Alberta, reported a prevalence of 227 per million in 2002,<sup>10</sup> which is consistent with our estimate of the PBC prevalence in Alberta (292 per million).

Several factors may be contributing to the increase in PBC prevalence: greater disease awareness and testing, earlier diagnosis and prolonged survival. Several studies on the temporal trends of liver transplants and the outcomes of patients with PBC suggest that prolonged survival may be a key factor. 9,33,46,47 Lee and colleagues described a reduction in the number of transplantations for patients with PBC, thought to be attributed to the introduction of ursodeoxycholic acid, possibly indicating improved outcomes and therefore prolonged survival. 9,33,48

Our geographic analysis showed large disparities in the PBC prevalence across Canadian provinces, ranging from 283 to 465 cases per million for the prevalence analysis and from 3.17 to 5.92 liver transplants per million in the general population for the liver transplant analysis. Notably, the Atlantic provinces had a high PBC prevalence (465 per million) and 6-year PBC liver transplant rate per million (5.70 per million), demonstrating geographic clustering of PBC in this region. We postulate that this could be explained by a founder effect given that a large percentage of the Atlantic population are descendants of immigrants from the United Kingdom and northern France, and it has been demonstrated that the UK has one of the highest PBC prevalence rates. Approximately 29% of Newfoundland and Labrador's, 19% of PEI's, 16% of Nova Scotia's and 12% of New Brunswick's population descend from immigrants from the UK, with even higher percentages having some UK roots.49 In comparison, only 9% of the Ontario population, the province with the lowest estimated PBC prevalence, are descendants of immigrants from the UK.49 These patterns may suggest genetic and environmental influences on the causes and pathogenesis of PBC.

The literature suggests that PBC is typically considered a disease of middle age, <sup>11,12</sup> and our results echoed these findings. Approximately 54% of patients in the total PBC prevalent study population were 40–64 years of age. <sup>49</sup> The liver transplantation survival rate for patients with PBC in the present analysis (2-yr survival 89%) is comparable to the rate in the CORR annual report (5-yr survival 81.8%). Fosby and colleagues observed a similar survival rate for PBC liver transplantation in Nordic countries between 2004 and 2013, reporting the 1-year and 5-year survival rates for patients who received transplants for PBC to be 94% and 87%, respectively.<sup>4</sup>

#### Limitations

As our study has several limitations because of the challenges inherent in using administrative data sets, we recommend careful interpretation of our results. Our study is potentially limited by the risk of misclassification of people with PBC, which could affect our prevalence estimates. The definition

<sup>\*</sup>These data show the crude unadjusted counts for the liver transplant population by age.

<sup>†</sup>Counts ≤ 5 are masked. Percentages were estimated by assuming a count of 3 for the masked category.

<sup>‡</sup>These data show the distribution of disease severity as measured by Child-Pugh and MELD scores.



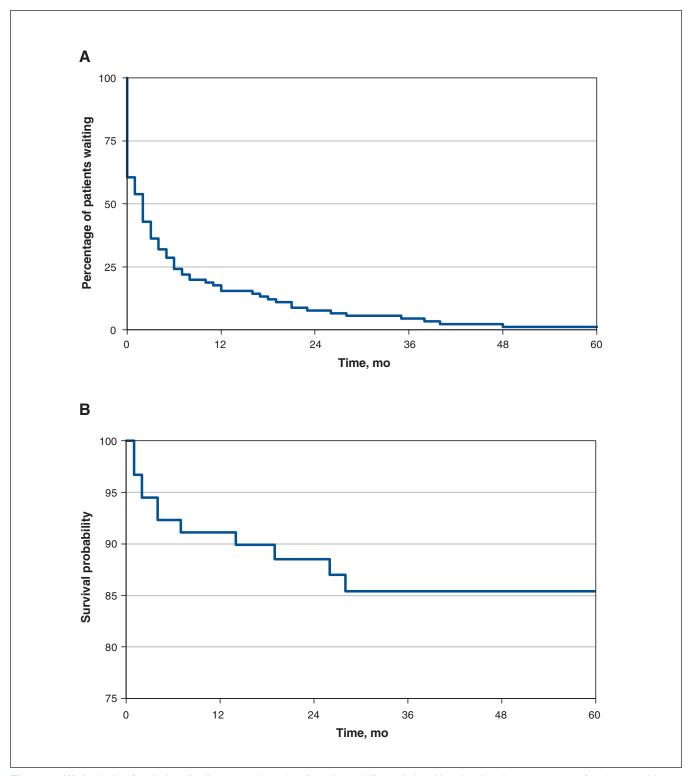


Figure 1: (A) Analysis of wait time for liver transplantation for primary biliary cholangitis, showing the percentage of patients waiting to receive a liver transplant for each month after being listed on the transplant list. (B) Analysis of survival after liver transplantation for primary biliary cholangitis.

used by Myers and colleagues required 2 distinct diagnoses of PBC and was shown to have a positive predictive value (PPV) of 73% for definite or probable PBC cases;<sup>51</sup> therefore, we

can expect our PPV value would be lower as it was based on a single PBC diagnosis in this study. Second, the data coverage for the DAD and NACRS (emergency department)



databases was not complete across the provinces, and therefore the data from one province may not fully represent the data in another. However, the number of patients with PBC identified through the emergency department was small (5%), hence we consider this adjustment to have been minor. For clinic visits, we used Alberta, which had 100% clinic coverage, to project for lack of visibility in other provinces. In our study 58% of the PBC population was identified through the clinic, and therefore this part of the projection had a greater impact on the prevalence estimate. Notwithstanding the potential limitations in this study, the authors feel that the challenges inherent in using administrative data were overcome to the extent possible to provide an accurate estimate of PBC prevalence.

#### Conclusion

Using population-based administrative data we have provided insight into the geographic distribution and temporal trends of PBC in Canada both in terms of the prevalence of PBC and the rate of liver transplantation in people with the disease. The observed prevalence demonstrates PBC is a growing health care concern in Canada, and further investigations of the interplay between genetic and environmental influences on PBC disease are warranted.

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proposed the design and analysis of this study, ensured the results were interpreted accurately and within the bounds of the proposed methodology and drafted the article. In addition, they were responsible for liaising with the Canadian Institute for Health Information, from where the study data were captured. All authors revised the article critically for important intellectual content, gave approval of the final version for publication and agreed to be accountable for all aspects of the work.

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