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### Research

# Trends in the use and cost of antipsychotics among older adults from 2007 to 2013: a repeated cross-sectional study

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#### **Abstract**

**Background:** Recently, several new atypical antipsychotic agents have been introduced in Ontario, and regulatory warnings have been issued regarding use of atypical antipsychotics in older adults. We sought to establish the impact of newer atypical antipsychotics on prescribing rates and costs.

**Methods:** We performed a population-based cross-sectional study of Ontario adults aged 65 years or more using atypical antipsychotics from Jan. 1, 2007, to Mar. 31, 2013. These people have universal access to publicly funded drugs through the Ontario Health Insurance Plan and the Ontario Drug Benefit. We conducted time-series analysis to assess the impact of the introduction of new atypical antipsychotics on rates of use of atypical antipsychotics and associated expenditures.

**Results:** Rates of atypical antipsychotic use increased following the introduction of new agents in 2009, from 27.6 users per 1000 older adults in the third quarter of 2009 to 29.1 users per 1000 older adults at the end of the study period (p = 0.04). Although prescribing rates for the newer atypical agents (paliperidone, ziprasidone and aripiprazole) remained low relative to their older counterparts (risperidone, olanzapine and quetiapine), rates of aripiprazole use rose to 1.0 user per 1000 older adults by the end of the study period. The proportion of prescriptions that were for brand-name agents fell from 57.5% in the second quarter of 2007 to 6.1% in the second quarter of 2009, and then rose to 11.7% by the end of the study period. By the first quarter of 2013, newer atypical antipsychotic agents were used by 4.4% of atypical antipsychotic users but accounted for 14.1% (\$1.2 million of \$8.5 million) of atypical antipsychotic expenditures.

**Interpretation:** Although the overall prevalence of use of new atypical antipsychotic agents remains low, their introduction has led to increased prescribing of this class of drugs in older adults. Given the potential cost implications, further study of these trends would be prudent.

ntipsychotic drug therapy is a mainstay in the treatment of psychosis and related disorders. Since the introduction of atypical antipsychotics, in the 1990s, the use of and costs associated with these drugs have increased substantially.<sup>1-6</sup> This increase has been driven primarily by prescriber preference for atypical (or second-generation) antipsychotics,<sup>1,2</sup> higher costs of newer agents compared with typical (or first-generation) antipsychotics<sup>1</sup> and a widening range of on- and off-label uses.<sup>3-5,7-9</sup> The increase in the use of atypical antipsychotic medications has been particularly marked in older adults with dementia.<sup>8,10-12</sup>

Since the early 2000s, there has been a growing appreciation for antipsychotic-related adverse effects among older people with dementia, which prompted warnings from the manufacturers and Health Canada regarding the safety of risperidone and olanzapine in 2002 and 2004, respectively. In 2005, Health Canada urged caution in using risperidone, olanzapine or quetiapine in elderly patients with dementia.<sup>2</sup> Although concerns around safety slowed the growth of anti-

psychotic prescribing, rates of use increased between 2000 and 2007.<sup>2</sup>

Between 2007 and 2013, several changes to antipsychotic availability and funding affected the costs of these drugs. The introduction of generic versions of risperidone, quetiapine and olanzapine between 2006 and 2008 resulted in substantially lower prices for these products. In 2010, the Ontario government, which at the time serviced a population of 13.2 million (including nearly 2 million older adults), with a health care budget of \$46 billion, reduced generic drug prices from 50% to 25% of the brand-name equivalent. Although

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these events would be expected to decrease costs associated with antipsychotic drug therapy in Ontario, 3 new medications — paliperidone, ziprasidone and aripiprazole — soon received regulatory approval and were added to the Ontario Drug Benefit formulary. 16-18

The impact of the competing forces of high relative cost and potential for rapid uptake of newer, brand-name agents marketed with favourable unintended-effect profiles<sup>19–22</sup> and the greater availability and lower prices of generic drugs is uncertain. Accordingly, we investigated the effect of the introduction of the newer brand-name atypical antipsychotics (starting with paliperidone in 2009) on rates of prescribing of atypical antipsychotics, prevalence of brand-name antipsychotic use and related drug benefit expenditures.

#### **Methods**

We conducted a population-based serial cross-sectional timeseries analysis of Ontario residents aged 65 years or more who were dispensed an antipsychotic between Jan. 1, 2007, and Mar. 31, 2013. These patients have universal access to physician services, hospital care and publicly funded drugs through the Ontario Health Insurance Plan (OHIP) and the Ontario Drug Benefit. This study was approved by the Research Ethics Board of the Sunnybrook Health Sciences Centre, Toronto, Ontario.

#### **Data sources**

We used large population-based administrative databases to conduct the study. The databases were linked with the use of unique, encoded identifiers and were analyzed at the Institute for Clinical Evaluative Sciences, Toronto. The Ontario Drug Benefit database contains records of all prescriptions dispensed to public drug plan recipients in Ontario. We used this database to identify the number and cost of all prescriptions for atypical antipsychotics dispensed over the study period. The OHIP Registered Persons Database contains basic demographic information (including date of birth, sex and date of death) for all residents of Ontario who have ever received a health card. Finally, the Contact Database combines OHIP registration data and data on use of health care services to maintain a list of people eligible for physician and hospital services in Ontario. We used it to determine the size of the population of OHIP-eligible people over the study period. The Contact Database was unavailable for the last quarter of the study period; therefore, we generated a quadratic least squares regression model to obtain population estimates for this final time point ( $R^2 = 0.997$ ).

#### Rates of atypical antipsychotic use

We examined the use of all atypical antipsychotics that were listed on the Ontario Drug Benefit formulary over the study period: quetiapine, olanzapine, risperidone, paliperidone, ziprasidone and aripiprazole. In each calendar quarter of the study period, we identified all people aged 65 years or more who filled at least 1 prescription for an atypical antipsychotic, and we report the number of patients who used atyp-

ical antipsychotics, overall and stratified by atypical antipsychotic agent. We calculated rates of atypical antipsychotic use as a proportion of the population of OHIP-eligible Ontario residents aged 65 or more who were alive at the beginning of each quarter.

## Prevalence of use of brand-name agents and antipsychotic expenditures

For each quarter, we determined the total quarterly cost to the provincial drug plan of atypical antipsychotic prescriptions dispensed to patients aged 65 or more and estimated the average cost per prescription. This analysis was conducted overall and stratified by atypical antipsychotic agent. To estimate the quarterly market share of brand-name prescriptions, we then classified all atypical antipsychotic prescriptions according to their generic status and found the proportion of prescriptions that were for brand-name agents.

#### Statistical analysis

We conducted a time-series analysis using interventional autoregressive integrated moving-average models to examine the impact of the introduction of new brand-name atypical antipsychotics on overall rates of use of these drugs. Given the close temporal relation of the new agents' introduction, we chose the marketing of the first new agent (paliperidone, in the third quarter of 2009) as our main intervention point in the model (see Appendix 1, available at www.cmajopen.ca/ content/4/2/E292/suppl/DC1). We used the correlograms depicting autocorrelation, partial autocorrelation and inverse autocorrelation functions to guide initial model selection. We assessed autocorrelation at various lags using the Ljung-Box  $\chi^2$  statistic and stationarity using the augmented Dickey-Fuller test. Our intervention was modelled as a step function in the regression model. A p value of less than 0.05 was considered statistically significant. All analyses were conducted with the use of SAS software version 9.2.

#### **Results**

## Overall atypical antipsychotic use and choice of agent

We identified 235 267 Ontario adults aged 65 years or more who were prescribed an atypical antipsychotic between Jan. 1, 2007, and Mar. 31, 2013. On average, atypical antipsychotic users were aged 79 years (SD 8.9), and 93 200 (39.6%) were men. Risperidone was the most commonly used agent at the beginning of the study period (13.0 users per 1000 older adults, n = 23 107), followed by quetiapine (9.3 users per 1000 older adults, n = 16436) and olanzapine (7.6 users per 1000 older adults, n = 13570) (Figure 1). Over the subsequent 6 years, quetiapine use rose, and in the first quarter of 2013 it was the most commonly used atypical antipsychotic, with a prevalence of 15.1 users per 1000 older adults (n =32 532) (Figure 1). Following the introduction of new brandname agents in 2009, their use increased, reaching a high of 1.5 users per 1000 older adults (n = 3132) by the end of the study period. This rise was driven largely by the use of

#### Research

aripiprazole, which reached a rate of 1.0 user per 1000 older adults (n = 2120) (Figure 1). Overall, the introduction of brand-name agents led to a small but statistically significant increase in the overall rate of atypical antipsychotic use, from 27.6 users per 1000 older adults in the third quarter of 2009 to 29.1 users per 1000 older adults by the end of the study period (p = 0.04) (Figure 2).

## Market share of brand-name agents and atypical antipsychotic expenditures

Following the introduction of generic versions of olanzapine and quetiapine, between the start of the study period and the second quarter of 2009, the prevalence of use of brand-name antipsychotic agents decreased considerably, from 57.5% to

6.1% (Figure 3). However, after new agents were introduced, in the third quarter of 2009, the prevalence of use of brandname agents began to rise and reached 11.7% by the end of the study period.

The costs for atypical antipsychotics were also greatly influenced by the introduction of generic formulations early in the study period as well as the decrease in government reimbursement for generic drugs in the third quarter of 2010. Following these changes, the average cost per atypical antipsychotic prescription fell from \$26.46 at the beginning of the study period to a low of \$14.00 in the fourth quarter of 2010 (Figure 3). Thereafter, the average cost per prescription rose by 17.1% to \$16.39.

In the first quarter of 2013, the newly introduced medications (paliperidone, ziprasidone and aripiprazole) were used

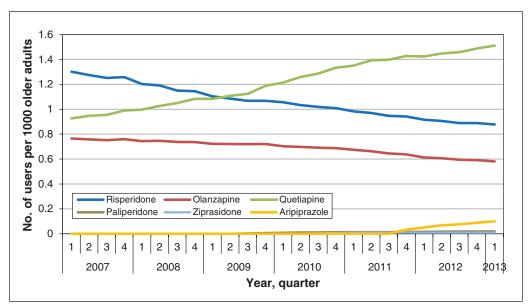


Figure 1: Prevalence of use of individual atypical antipsychotics among Ontario adults aged 65 years or more who were prescribed an atypical antipsychotic between Jan. 1, 2007, and Mar. 31, 2013 ( $n = 235\ 267$ ).

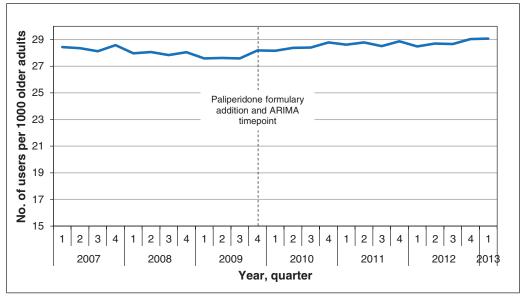


Figure 2: Rate of atypical antipsychotic prescribing per 1000 adults aged 65 years or more in Ontario.

by 4.4% of atypical antipsychotic users but accounted for 14.1% of total atypical antipsychotic expenditures (\$1.2 million of \$8.5 million) (Table 1). This discrepancy was driven by the large differential in the average quarterly costs per person for brand-name and generic agents. In the first quarter of 2013, average quarterly costs per user for paliperidone, ziprasidone and aripiprazole were \$953.76, \$362.64 and \$349.60, respectively. In comparison, the mean quarterly costs per patient for generic atypical agents at this time were \$152.75 for olanzapine, \$110.41 for risperidone and \$104.59 for quetiapine (Table 1).

#### Interpretation

We found large shifts in prescribing preferences and costs of atypical antipsychotics among older adults in Ontario over the study period as well as a modest but statistically significant overall increase in the rate of atypical antipsychotic prescribing following the introduction of new brand-name agents to the public drug formulary. As expected, we observed a marked decrease in expenditures corresponding with the introduction of generic agents and a reversal of this trend with the arrival of new agents. Although newer brand-name agents were used by less than 5% of atypical antipsychotic users in early 2013, these agents accounted for 14% of atypical antipsychotic costs. Furthermore, the growth of aripiprazole use showed no sign of slowing following its introduction, and given its high price compared with generic formulations, it is likely that costs for atypical antipsychotic agents will continue to rise in the near future.

Previous studies have shown expanding off-label prescribing and potential overuse of atypical antipsychotics. 1,2,7,8 This

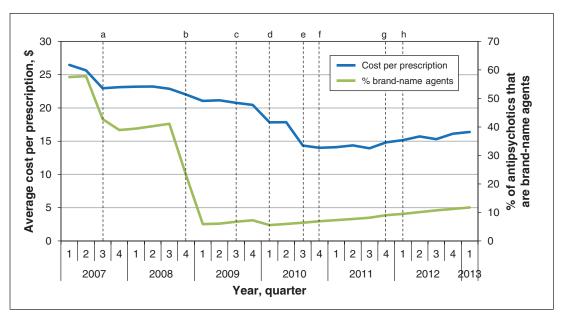


Figure 3: Average cost per atypical antipsychotic prescription and prevalence of use of brand-name atypical antipsychotic agents, 2007–2013. a: Olanzapine patent expiry. b: Quetiapine patent expiry. c: Paliperidone added to Ontario Drug Benefit formulary. d: Olanzapine rapid-dissolve patent expiry. e: Quetiapine extended-release added to formulary. f: Ziprasidone added to formulary. g: Aripiprazole added to formulary. h: Injectable paliperidone added to formulary.

Table 1: Total quarterly costs and cost per user of atypical antipsychotics at the start and end of the study period						
	First quarter 2007			First quarter 2013		
Atypical antipsychotic	Total cost, \$	% overall costs	Cost per user, \$	Total cost, \$	% overall costs	Cost per user, \$
Overall	10 993 284	-	217.74	8 518 981	-	137.58
Risperidone	2 201 115	20.0	95.26	2 061 866	24.2	110.41
Olanzapine	5 815 987	52.9	428.59	1 890 328	22.2	152.75
Quetiapine	2 976 182	27.1	181.08	3 365 143	39.5	104.59
Paliperidone	_	_	_	394 855	4.6	953.76
Ziprasidone	_	_	_	65 639	0.8	362.64
Aripiprazole	-	_	_	741 151	8.7	349.60



#### Research

suggests that the rising rate of use of these products after the introduction of new agents should be further monitored and explored to assess the appropriateness of prescribing practices. Furthermore, the safety of antipsychotic use in older patients has been questioned, particularly for the symptomatic treatment of behavioural and psychological symptoms of dementia.<sup>2,12</sup> Adverse effects observed include extrapyramidal symptoms and increased risk of diabetes, hip fracture and allcause mortality.<sup>6,23-25</sup> Notably, Health Canada and Janssen Inc. recently agreed to limit risperidone use in dementia to short-term symptomatic treatment of behavioural or psychological symptoms of severe Alzheimer-type dementia, citing a higher risk of cerebrovascular adverse events.<sup>26</sup> However, past studies have shown safety warnings to be ineffective at reducing the use of antipsychotics among the older population in Canada and Europe.<sup>2,12</sup> The manufacturers and Health Canada issued warnings regarding the safety of risperidone and olanzapine in 2002 and 2004, respectively, and a blanket statement urging caution in geriatric use of atypical antipsychotics followed in 2006;2 our data show a corresponding decrease in risperidone and olanzapine prescribing countered by an increase in quetiapine use, which resulted in no observable net effect on use of this drug class. Although prescribing preferences have shifted within the class as product availability has changed, atypical antipsychotics are increasingly a mainstay of geriatric psychiatry despite these safety concerns.

#### Limitations

Several limitations of this study warrant emphasis. First, we were unable to access in-hospital medication records, and thus our study is restricted to atypical antipsychotic use among older adults living in the community and in long-term care. Second, we assessed overall patterns of use and not patient information (e.g., diagnoses) or prescription information (e.g., dosage, length of use); therefore, we were unable to draw conclusions regarding the appropriateness of the observed prescribing habits or the clinical reasoning underlying the observed preferences for certain agents. Third, owing to the close temporal proximity of the introduction of new antipsychotic agents and generic versions of existing agents, we were unable to assess the independent impact of each new antipsychotic agent on drug expenditures using time-series analysis.

#### Conclusion

Our results suggest that the introduction of new atypical antipsychotic agents led to a small but statistically significant increase in the overall use of these medications in Ontario. Possible reasons for this increase include increased marketing of brand-name agents and a relative paucity of third-party data concerning medium- to long-term risk of adverse effects.<sup>27–30</sup> Furthermore, we showed that, despite a drop in atypical antipsychotic costs following the introduction of several generic formulations, expenditures related to these agents began to rise in 2011, after the introduction of several new, brand-name agents. Further monitoring of these trends

and their impact on patient outcomes and health sector expenditures would be prudent as they have the potential to have considerable effects on quality of care and drug formulary budgets.

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Competing interests: Muhammad Mamdani has served as an advisory board member for AstraZeneca, Bristol-Myers Squibb Canada, Eli Lilly and Company, GlaxoSmithKline, Hoffmann–La Roche Limited, Novartis Phamarceuticals Canada Inc., Novo Nordisk and Pfizer Canada Inc. No other competing interests were declared.

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Contributors: Paul Foster, David Juurlink, Michael Paterson, Muhammad Mamdani and Tara Gomes conceived the study. Ximena Camacho, Zhan Yao and Diana Martins acquired the data. Paul Foster and Tara Gomes drafted the manuscript, and Simone Vigod, David Juurlink, Michael Paterson, Muhammad Mamdani and Tara Gomes revised the manuscript for important intellectual content. All of the authors designed the study, contributed to data analysis and interpretation, gave approval of the final version to be published and agreed to act as guarantors of the work.

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